

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:) Confirmation No.: 3512
Shunpei YAMAZAKI et al.)
Application No.: 10/678,299) Examiner: Gene M. Munson
Filed: October 6, 2003) Group Art Unit: 2811
For: ELECTRO-OPTICAL DEVICE AND)
ELECTRONIC DEVICE) Date: October 18, 2006

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MAIL STOP AMENDMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. §1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

It is requested that the accompanying PTO-1449 be considered and made of record in the above-identified application. To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner-initialed copy of this form be returned to the undersigned.

Upon further review of the information disclosure statements submitted thus far in this application, Applicants discovered additional documents struck-through by the Examiner, which should be considered.

U.S. Patent No. 5,317,236 was listed in an IDS filed on March 8, 2005, and was crossed-through by the Examiner with the notation "considered via correspond US 5,206,749." However, because U.S. Patent No. 5,317,236 is a continuation-in-part (CIP) of U.S. Patent No. 5,206,749, it is believed the Examiner should have considered the CIP.

U.S. Patent No. 6,794,675, which was listed in the IDS filed on November 4, 2004, has a filing date of March 23, 2000; U.S. Patent No. 6,940,214, which was listed in the IDS filed on November 18, 2005, has a filing date of February 9, 2000; and U.S. Patent No. 6,413,645, which was listed in an IDS filed on March 8, 2005, has a filing date of April 20, 2000. Additionally, JP 2000-077191 has a publication date of 03-14-2000, EP 0 999 595 A2 has a publication date of 05-10-2000, and EP 0 942 407 A1 has a publication date of 09-15-1999. Because Applicants have not relied upon nor perfected the priority filing date of the

priority document, JP 11-158787, filed in Japan on June 4, 1999, in connection with the pending claims of the present application, it is respectfully submitted that the Examiner should consider these listed documents having an intervening filing and publication dates.

U.S. Patent No. 6,573,564, which was listed in the IDS filed on February 24, 2006, was indicated as being considered via U.S. Patent No. 6,407,431. However, this patent indicates the same assignee as the present application. Hence, this patent, including patented claims therein, should be considered.

Additionally, newly listed U.S. Patent No. 6,531,713 was filed on March 17, 2000, and corresponds to JP 2000-340798, which was submitted in an IDS filed on January 20, 2004, and crossed-through by the Examiner. Because U.S. Patent No. 6,531,713 has a filing date before Applicants' May 26, 2000, domestic priority date, it is believed the Examiner should consider this document.

Newly listed U.S. Patent No. 6,863,961 issued from U.S. Application No. 09/101,083, which was listed in the previously submitted IDS dated September 27, 2006. The Examiner is requested to consider U.S. Patent No. 6,863,961 and cross-through the corresponding application listing in the PTO Form 1449 submitted with the September 27, 2006, IDS.

The remaining documents listed correct typographical errors noted in a review of the IDS filed on September 27, 2006. The corrections include changing the publication date for U.S. Patent No. 6,204,535 from "03-30-2001" to "03-20-2001," changing the publication date of U.S. Patent No. 6,413,645 from "07-02-2202" to "07-02-2002," changing "COMPLES" to "COMPLEX" in the title of the cited article by Tsutsui et al., and removing typographical errors in the citations of U.S. Patent Application Nos. 09/580,485 and 09/587,369 and inserting the filing dates for these applications. The Examiner is requested to cross-through the incorrect and/or incomplete citations of these documents in the PTO Form 1449 filed on September 27, 2006.

The Commissioner is hereby authorized to charge any fees connected with this filing which may be required now, or credit any overpayment to Deposit Account No. 19-2380.

Respectfully submitted,

By: John F. Guay, Reg. # 47248/
John F. Guay

NIXON PEABODY LLP
Suite 900
401 9th Street, N.W.
Washington, DC 20004-2128

Telephone: (202) 585-8000

Substitute for form 1419MPTD

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Они же тоже хотят ее покинуть

5

1

1

EXHIBIT THREE

Complete if Known

Application Number	10/678,299
Filing Date	October 6, 2003
First Named Inventor	Shunpei YAMAZAKI et al.
Art Unit	2811
Examiner Name	Gene M. Munson
Attorney Docket Number	740756-2662

U.S. PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No.†	Foreign Patent Document		Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passage or Relevant Figure Appears
		Country Code* Number* (if known)	Kind Code* (if known)		
		JP 2000-077191	03-14-2000	Yamada et al.	Abstract
		EP 0 999 595 A2	05-10-2000	Yamazaki et al.	Abstract
		EP 0 942 407 A1	09-15-1999	Kimura	Full

OTHER PRIOR ART - NON-PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS ¹			DATE NO. ²	INCLUDE NAME OF THE AUTHOR (IN CAPITAL LETTERS), TITLE OF THE ARTICLE (WHEN APPROPRIATE), TITLE OF THE ITEM (BOOK, MAGAZINE, JOURNAL, SERIAL, SYMPOSIUM, CATALOG, ETC.), DATE, PAGE(S), VOLUME-ISSUE NUMBER(S), PUBLISHER, CITY AND/OR COUNTRY WHERE PUBLISHED.	1 ²
		TSUTSUI ET AL., "HIGH QUANUM EFFICIENCY IN ORGANIC LIGHT EMITTING DEVICES WITH IRIDIUM COMPLEX AS A TRIPLET EMISSIVE CENTER," Japanese Journal of Applied Physics, Vol. 38, Part 2, No. 12B, pp. L1502-L1504, December 15, 1999.			
		Specification, claims and drawings of U.S. Application No. 09/580,485, filed on May 30, 2000.			
		Specification, claims and drawings of U.S. Application No. 09/587,369, filed on June 2, 2000.			

Examiner Signature	Date Considered	
-----------------------	--------------------	--

*EXAMINER Initial if relevance considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ applicant's unique citation number (optional). ² See *Final Codes of USPTO Patent Documents* at 222, uspto.gov or MPRF/901.04. ³ Enter Office that issued the document, by the two-letter code (WPOB Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the issuance of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbol as indicated on the document under WPO Standard ST.15 if possible. ⁶ Application is in Japanese, a checkmark here, L, English/Laurence Traquilla is attached.